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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

AUG 29 2001

Application Number 09/590,491

Filing Date June 9

First Named Inventor English

Group Art Unit NYA

Examiner Name NYA

Attorney Docket Number 103.1032.02

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AUG 31 2001

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## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Copies of Art References  
Return Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Steven A. Swernofsky

Reg. no. 33,040

Signature

*Steven A. Swernofsky*

Date

8/24/01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 8-24-01

Type or printed name

Arlette Malhas, Paralegal

Signature

*Arlette Malhas*

Date

8-24-01

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Attorney Docket 103.1032.02

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Muhlestein

Serial No. 09/590,491

Filed: 6/09/2000

For: Low-Overhead Threads in a  
Concurrency System

Art Unit: NYA

Examiner: NYA

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AUG 31 2001

Technology Center 2100

CERTIFICATE OF MAILING

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Assistant Commissioner for Patents  
Washington, D.C. 20231

on 8-24-01 by Arllette Malhas  
Date Arlette Malhas, Paralegal

INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e) OR  
☐ a \$180.00 fee under 37 CFR 1.17(p)  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e) AND

☐ a \$180.00 petition fee set forth in 37 CFR 1.17(i)(1).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO SB/08-Information Disclosure Statement by Applicant listing documents of which applicant(s) believe(s) might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.

☒ Applicant(s) submits herewith copies of documents listed in the attached Form PTO SB/08.

☐ Copies of documents listed in the attached Form PTO SB/08 were previously submitted in the following application:

Docket No.: \_\_\_\_\_  
Serial No.: \_\_\_\_\_  
Filed: \_\_\_\_\_

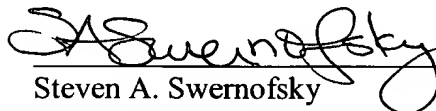
THE EXAMINER IS ENCOURAGED AND EXHORTED TO MAKE HIS OR HER OWN INDEPENDENT EVALUATION OF POSSIBLE RELEVANCE OF THE SUBMITTED DOCUMENTS.

At the present time, Applicant submits that the above documents, taken alone or in combination, neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Date: 8/24/01

  
Steven A. Swernofsky  
Reg. No. 33,040

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